



RECEIVED

COPIES OF PAPERS  
ORIGINALLY FILED

T.D  
Page 1 of 1 #3  
MPB

Thorpe, North & Western  
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
<del>60/352,188</del> 10/075,936	01/25/2002	James J. Croft III	T9574.NP

CONFIRMATION NO. 2517

FORMALITIES LETTER



Clifton W. Thompson  
THORPE, NORTH & WESTERN L.L.P.  
P.O. BOX 1219  
SANDY, UT 84091-1219

05/21/2002 SSALEEKU 00000004 200100 10075936

Date Mailed: 02/19/2002

01 FC:203		18.00 OP	✓
02 FC:202	375.00 CH	45.00 OP	

Non-  
NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(c)

Filing Date Granted

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 160 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$50 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 210.

Adjustment date: 05/21/2002 SSALEEKU  
 05/02/2002 SDENBOB1 00000069 10075936 /  
 03 FC:203 63.00 OP

A copy of this notice **MUST** be returned with the reply.

S. Abraham  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 1 - ATTORNEY/APPLICANT COPY

05/02/2002 SDENBOB1 00000069 10075936

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	63.00 OP

DOCKETED

Description	Due Date	Initials
Missing Parts	4-19-02	de
04-25-02		

INITIAL



COPY OF PAPERS  
ORIGINALLY FILED

MP \$  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.

SERIAL NO.: 10/075,936

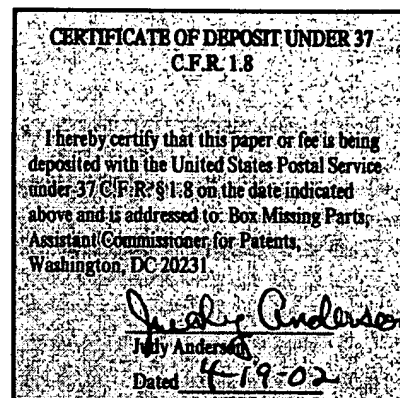
FILED: 1/25/02

FOR: PLANAR MAGNETIC SPEAKERS WITH  
SECONDARY MAGNETIC STRUCTURE

ART UNIT:

EXAMINER:

DOCKET NO.: T9574.NP



RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 19, 2002, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- X An Assignment from the inventors to AMERICAN TECHNOLOGY CORPORATION.
- X A Verified Statement Claiming Small Entity Status signed by an officer of American Technology Corporation;
- X A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- X Inventor Declaration and Petition;
- X Power of Attorney signed by officer of American Technology Corporation;

Assistant Commissioner for Patents  
Page 2

X Check in the amount of \$538.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

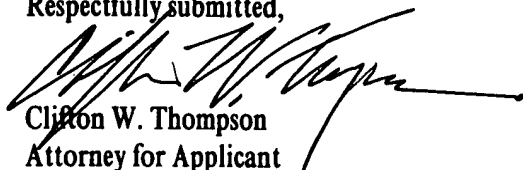
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$740
TOT. CLAIMS	-20 = 27	7	x 9 =	\$ 63	x 18 =	\$
IND. CLAIMS	-03 = 3	0	x 42 =	\$	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	\$ 40	\$ 40	
SURCHARGE FEE				\$ 65		
			TOTAL	\$ 538	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 19<sup>th</sup> day of April, 2002.

Respectfully submitted,



Clifton W. Thompson

Attorney for Applicant

Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.

P.O. Box 1219

Sandy, Utah 84091-1219

Telephone (801) 566-6633

CT:ja  
Enclosures